

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Parent Application

Inventor(s): Steven J. Smith, Kenneth A. Schmidt and
Maxwell H. Bunshaft

Appln. No.: 10/619,726

Confirm. No.:

Filed: July 15, 2003

Title: SYSTEMS AND METHODS FOR AUTOMATICALLY
UPDATING ELECTRONIC MAIL ACCESS LISTS

PATENT APPLICATION

Art Unit:

Examiner:

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Brenda J. Dolly (Signature)
Name: Brenda J. Dolly
Signature Date: Aug 22, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language

documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

This statement should be considered because:

✓ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

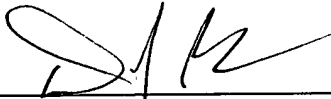
- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

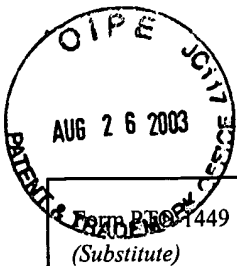
Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: 8/22/03

By: 
Daniel J. Burns
Reg. No. 50,222

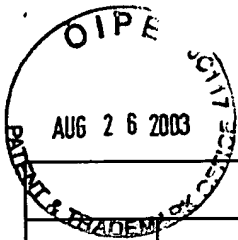
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement BY APPLICANT <i>(Use several sheets if necessary)</i>	Attorney Docket Number MNDSH-01004US	Serial/Patent Number 10/619,726
	Applicant/Patent Owner Steven J. Smith et al.	
	Filing/Issue Date July 15, 2003	Group Art Unit

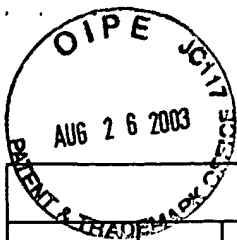
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	3	5,424,724	Jun. 13, 1995	Williams et al.			
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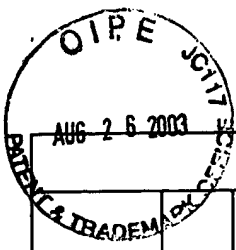


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					Yes	No
	72	10/389,419	Mar. 14, 2003	Steven J. Smith et al.		
	73	10/447,593	May 29, 2003	Steven J. Smith		

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							Yes	No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

	74	Article - "FloNetwork Inc., Prefer Network Team Up," www.emailuniverse.com/list-news/2000/10/18.html , October 18, 2000, 1 page
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	76	Press Release - "L-Soft Announces Release of LSMTP™ Version 1.1b," www.lsoft.com/news/lsmtp , dated March 1, 1999, 2 pages
	77	Internet Article - "Eight Great Lists Call Topica Home," <u>The Leader in Email Discussions & Publishing Solutions</u> , www.topica.com/about/index.html?mode=eight , dated July 26, 1999, 3 pages
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Examiner

Date Considered

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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